

Level Wealth Management LLC  
Form CRS Relationship Summary  
Dated 01/23/2024

Level Wealth Management LLC is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

We offer investment advisory services to retail investors. Our services include Comprehensive Financial Planning and Investment Management, Project Based Financial Planning or Project Based Investment Management engagements.

### **Monitoring:**

Under our investment management services, your investment accounts will be monitored and reviewed regularly on at least a quarterly basis by our firm. We will provide advice to you regarding the investments and allocation of your accounts to ensure they are positioned appropriately based on your goals and objectives.

### **Investment Authority:**

Through our Investment Management Services, we maintain discretionary authority with respect to the purchase and sale of securities in your accounts managed by our firm. We do not require your prior authorization before placing trades in your account(s).

### **Account Minimums and and other Requirements:**

There are no requirements for retail investors to open or maintain an account or establish a relationship.

For **Additional Information** regarding the services we make available to you, please review Item 4 of our Form ADV Part 2A.

### **Ask your Adviser:**

1. ***“Given my financial situation, should I choose an investment advisory service? Why or why not?”***
2. ***“How will you choose investments to recommend to me?”***
3. ***“What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”***

### **What fees will I pay?**

The amount of fees you pay to our firm and the frequency in which you are billed depends on the services being provided. For Comprehensive Financial Planning and Investment Management services, we will deduct fees directly from your managed account (asset-based fees) on a quarterly basis at the rate of \$9,600 per year. For Project Based Financial Planning or Investment Management, we charge based on a fixed fee basis and the fixed fee can range between \$200 and \$15,000.

We are paid a fixed fee for our investment management services, not to exceed 2% of your assets under management.

Unless we indicate that your assets are managed through a Wrap Fee Program, our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which you may incur. Additional fees you may pay include certain charges imposed by custodians such as custodial fees, deferred sales charges, or other fees and

taxes on brokerage accounts and securities transactions. Mutual fund and exchange-traded funds also charge internal management fees, which are disclosed in a fund's prospectus.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

***Ask your Adviser: "Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"***

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

*"When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means."*

***Ask your Adviser: "How might your conflicts of interest affect me, and how will you address them?"***

**Additional Information**

Conflicts of Interest: As a registered investment advisor we have a fiduciary duty to act in your best interests and therefore seek to minimize or eliminate all potential conflicts of interest.

**How do your financial professionals make money?**

Our financial professionals are compensated through quarterly distributions, and are not paid commissions or other compensation based on the amount of the business generated from their work.

**Do you or your financial professionals have legal or disciplinary history?**

No. You can visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

***Ask your Adviser: As a financial professional, do you have any disciplinary history? For what type of conduct?***

**For additional information** about our services, visit our website <https://www.levelwm.com/>. If you would like additional, up-to-date information or a copy of this disclosure, please call 336-355-1432.

***Ask your Adviser: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?***